# Digital Object Prototypes: An Effective Realization of Digital Object Types

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Abstract. Digital Object Prototypes (DOPs) provide the DL designer with the ability to model diverse types of digital objects in a uniform manner while offering digital object type conformance; objects conform to the designer's type definitions automatically. In this paper, we outline how DOPs effectively capture and express digital object typing information and finally assist in the development of unified web-based DL services such as adaptive cataloguing, batch digital object ingestion and automatic digital content conversions. In contrast, conventional DL services require custom implementations for each different type of material.

### 1 Introduction

Several formats and standards, including METS [10], MPEG-21 [15], FOXML [7] and RDF [11] are in general able to encode heterogeneous content. What they all have in common is their ability to store and retrieve arbitrary specializations of a digital object's constituent components, namely, files, metadata, behaviors and relationships [9]. The derived digital object typing information – that is, which components constitute each different type of object and how each object behaves – is not realized in a manner suitable for effective use by higher level DL application logic including DL modules and services [13].

Our main objective is to enhance how we express and use the types of digital objects independently of their low-level encoding format used for storage. Digital object prototypes (DOPs) [13] provide a mechanism that uniformly resolves digital object typing issues in an automated manner. The latter releases DL users such as cataloguers, developers and designers from dealing with the underlying complexity of typing manually. A DOP is a *digital object type definition* that provides a detailed specification of its constituent parts and behaviors. Digital objects are conceived as instances of their respective prototypes. DOPs enable the generation of *user-defined types* of digital objects, allowing the DL designer to model the specialities of each type of object in a fine-grained manner, while offering an implementation that guarantees that all objects conform to their type automatically. Using DOPs, the addition of a new digital object type requires no custom development and services can be developed to operate directly on all types of material without additional coding for handling "special" cases.

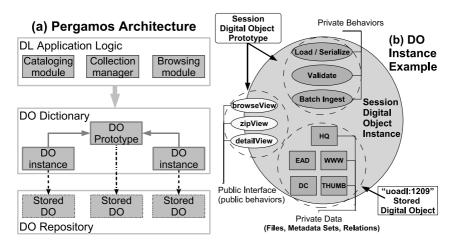
J. Gonzalo et al. (Eds.): ECDL 2006, LNCS 4172, pp. 123-134, 2006.

DOPs assist in dealing with important "every day" DL development issues in a unified way: how to speed up and simplify cataloguing, how to automate content ingestion, how to develop effective web interfaces for presenting and manipulating heterogeneous types of digital objects. In this paper, we focus on the benefits offered by the deployment of DOPs in the development of high level services in **Pergamos**, the University of Athens DL. In particular, we point out how web based services such as browsing, cataloguing, batch ingestion and automatic digital content conversion cope with any type of DOP defined object, while having all services reside in a single, uniform implementation.

The remainder of the paper is organized as follows. Section 2 provides a detailed description of the current implementation of DOPs and pinpoints how DOPs assist on the development of uniform yet effective DL services. In Section 3 we present several DOP examples originating from Pergamos collections. Finally, Section 4 concludes the paper discussing related and future work.

## 2 Digital Object Prototypes in Pergamos

We have implemented DOPs in Java. As depicted in Figure 1a, DOPs operate atop the repository / storage layer of the DL (in Pergamos we use FEDORA [14]).



**Fig. 1.** (a) The 3-tier **Pergamos** architecture incorporating the "type enforcement" layer of DO Dictionary [13] and (b) A digital object instance as composed by its respective prototype and the underlying stored digital object

The *DO Dictionary* layer of Figure 1a exposes the DOPs API to high level DL services or the application logic. The underlying repository's "mechanics" remain hidden, since all service functionality is directed through DOPs. We define DOPs in terms of XML documents, that are loaded by the *DO Dictionary* at bootstrap time. These XML documents provide the type specification that is translated to a Java representation wrapped by the DOPs API. At runtime, the *DO Dictionary* 

loads stored digital objects from the repository and generates Java artifacts named digital object instances that conform to their respective DOP definition. High level services operate on digital object instances; any modification occurring in an instance's data is serialized back to the repository when the object is saved.

In order to illustrate how DOPs effectively realize digital object types, in this section we use examples drawn from the Senate Archive's Session Proceedings collection in Pergamos DL. We model Session Proceedings using Session and Page DOPs; each Senate Session is modelled as a complex object containing Pages. Figure 1b depicts the runtime representation of a Session digital object instance, while Figure 2 illustrates the definition of the Session DOP, encoded in XML. The Session instance reflects the specifications found in the Session DOP. The instance's behaviors are defined in the DOP the instance conforms to, while its metadata, files and relations are loaded from its underlying stored digital object.

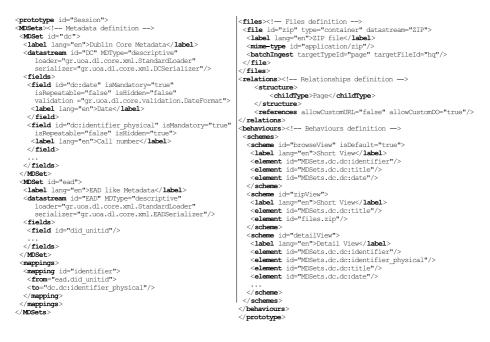


Fig. 2. The Session prototype defined in XML terms

DOP definitions are encoded in XML as depicted by the Session DOP of Figure 2 and are made up of four parts according to [9]: (a) metadata element set definitions expressed in the MDSets XML section, (b) digital content specifications expressed in the files section, (c) relationships, defined in the relations section and (d) behaviors, defined in the behaviors XML section. In the following we provide a detailed description of each of these four definition parts, while, in parallel, we discuss how these type definitions are interpreted at runtime. It is worth pointing out that, although most of the examples we use herein originate from object input scenarios, the automatic type conformance offered by DOPs covers all aspects of digital object manipulation. The DOPs framework is not a static digital object model. On the contrary, it can be conceived as a framework that allows users to define their own digital object models.

## 2.1 Behaviors in DOPs

The behaviors of a digital object constitute the set of operations it supports. All the instances of the same DOP share the same behaviors; for example, all Session Proceedings behave in the same manner. This is reflected by the fact that with DOPs, behaviors are defined only in the object's respective prototype and are automatically bound to the digital object instance at runtime by the DO Dictionary.

DOPs implement digital object types by drawing on the notions of the OO paradigm. In order to support OO encapsulation, our approach distinguishes private from public behaviors. Private behaviors refer to operations that are executed by the digital object instance in a private fashion, hidden from third parties. For example, validations of metadata element values are private behaviors that are executed by instances according to their DOP specification, without user intervention. Private behaviors are triggered on specific events of the digital object instance's lifecycle; for instance, when a DL service updates the metadata of an object. Private behaviors are implicitly defined in the DOP, as described in the examples presented later in this section. On the other hand, public behaviors constitute the interface through which third parties can interact with the digital object instance at hand. Public behaviors are explicitly defined in a DOP and are described in Section 2.5.

## 2.2 Metadata Elements in DOPs

DOPs support the use of multiple metadata element sets for describing different digital object characteristics [9,10]. There are three ways to specify a metadata element set in a DOP: (a) as a standard element set, such as the Dublin Core (DC) [3], (b) as a user-defined extension of a standard element set (e.g. qualified DC) or (c) as a totally custom element set. In detail, a DOP specifies:

- the individual metadata sets contained in the objects of this type, supplied with an identifier and a multi-lingual label and description.

- the specific elements that constitute each metadata set. Each element is designated by an identifier, desired labels and descriptions, and additional behavioral characteristics expressed in terms of private behaviors.

- the possible mappings among elements of the various metadata sets.

As the MDSets section of Figure 2 illustrates, Session objects are characterized using a qualified DC metadata set, called dc. Due to the archival nature of the material, we also use a second, custom element set called ead, that follows the principles of Encoded Archival Description (EAD) [6], yet without encoding the EAD Finding Aid in its entirety. In what follows, we describe the metadata handling capabilities of DOPs and provide appropriate examples drawn from the MDSets specifications found in the Session prototype of Figure 2.

Automatic loading & serialization of Metadata sets: Loading and serialization of metadata sets are private behaviors, both executed by the DOP behind the scenes. For example, if a DL service requests the dc metadata set values of a Session digital object instance, the DOP specified loader is used to load the corresponding element values from the underlying stored digital object. Respectively, whenever a DL service stores the digital object instance to the repository, the DOP supplied serializer is used to serialize each metadata set to the appropriate underlying format. Loaders and serializers are defined in the datastream XML section of the MDSet definition. Each DOP is allowed to define its custom loading / serialization plugins, given that they constitute valid implementations of the respective Loader and Serializer Java interfaces supplied by the *DO Dictionary*. The Session DOP, for example, uses the StandardLoader plugin to load the metadata of Session Proceedings objects.

Behavioral characteristics of Metadata elements: The DOPs metadata specification inherently offers additional behavioral characteristics for each metadata element. These characteristics are exploited by DL services on a case to case basis for each element. DOPs define behavioral characteristics in terms of XML attributes of the respective field definitions appearing in the MDSet specification. In DOPs, we support the following behavioral characteristics:

- isMandatory: the instance will throw an exception if the metadata element is to be saved with a null value.

- isHidden: advices the UI to hide the element from end-users.

- isRepeatable: the metadata element is allowed to have multiple values. The UI service adjusts accordingly, by supplying the cataloguer with the ability to insert multiple values or by displaying the values to the end-user in a list.

- validation: digital object instances apply the given validation whenever they are called to set values to the element. The validation occurs just before the user-supplied values are serialized and sent to the repository. DOPs support user-defined, pluggable validations, given that they implement the Validation interface provided by the *DO Dictionary*. For example, the definition of the dc:date element in Figure 2 specifies the use of a validation that checks whether respected values conform to the date format selected by the Senate Archive's cataloguing staff.

Mappings among Metadata Elements: The Session DOP of Figure 2 maps ead:unitid to dc:identifier\_physical. A mapping between elements represents another example of a private behavior. Whenever the value of the ead:unitid element is modified, the digital object propagates its new value to the dc:identifier\_physical. In Session objects, the mappings are created from selected ead elements to members of the dc metadata set. This is performed in order to allow us to offer cross-collection search to our users, given that FEDORA only supports DC metadata searches. With the use of DOP-based mappings we supply Pergamos with such search capabilities, without having to limit our material description requirements to DC metadata only or force our cataloguing staff to provide redundant information for both ead and dc metadata sets.

### 2.3 Digital Content in DOPs

With regard to digital content, a prototype:

- specifies the various files and their respective formats,

- provides the necessary information required for converting a primary file format to derivatives in order to automate and speed up the ingestion process,

- enables batch ingestion of content and automatic creation of the appropriate digital objects.

Listing 1.1 depicts the files configuration of the Senate Archive's Page DOP. The latter specifies that Page objects should contain three file formats, namely a high quality TIFF image (hq), a JPEG image of lower quality for web display (web) and a small JPEG thumbnail image for browsing (thumb). In what follows we describe batch ingestion and content conversion capabilities of DOPs.

```
<files >

<file id="hq" type="primary" datastream="HQ">

<label lang="en">High Quality Image</label>

<mime-type id="image/tiff">

<conversion target="web" task="convRes" hint="scale:0.6, quality:0.7"

mimeType="image/jpeg" converter="gr.uoa.dl.core.conv.ImageConverter"/>

<conversion target="thumb" task="convRes"

hint="width:120, height:120, quality:0.6"

mimeType="image/jpeg" converter="gr.uoa.dl.core.conv.ImageConverter"/>

</file id="web" type="derivative" datastream="WEB">

</file id="web" type="derivative" datastream="WEB">

</file id="web" type="derivative" datastream="WEB">

</file id="web" type="derivative" datastream="WEB">

</file id="mimge/jpeg"/>

</file id="thumb" type="derivative" datastream="THUMB">

</file id="thumb" type="derivative" datastream="THUMB">
```

Listing 1.1. The files section of the Page prototype

Automatic Digital Content Conversions: Each file format is characterized either as primary or derivative. In the case of files of Senate Archive's Page objects, as defined in the files section of Listing 1.1, the hq file is primary, referring to the original digitized material. The web and thumb files are treated as derivatives of the primary file, since the prototype's conversion behavior can generate them automatically from the hq file. Conversion details reside in the conversion section of each file specification. After the ingestion of the primary file, the digital object instance executes the conversions residing in its prototype automatically. We support three conversion tasks, namely (a) convert, used to convert a file from one format to another, (b) resize, used to resize a file while maintaining its format and (c) convRes, used to perform both (a) and (b). Each task is carried out by the Java module supplied in the converter attribute, offering flexibility to users to provide their own custom converters. The converter is supplied with a hint, specifying either the required width and height of the resulting image in pixels, the scale factor as a number within (0, 1) or the derivative's quality as a fraction of the original. In the case of Page objects (Listing 1.1), the hq file is converted to a web JPEG image using compression quality of 0.7 and resized using a scale factor of 0.6. Additionally, the hq file is also converted to a thumb JPEG image using compression quality 0.6 and dimensions equal to 120 x 120pixels. The Page instance stores both derivatives in the FEDORA datastreams specified in the datastream attribute of their respective file XML element.

Batch Digital Object Ingestion: We also use DOPs to automate digital object ingestion. The files section of the Session prototype (Figure 2), depicts that Session objects are complex entities that contain no actual digital content but act as containers of Page objects. However, the Session prototype defines a zip file that is characterized as container. Containers correspond to the third supported file format. If the user uploads a file with the application/zip mime type in a Session instance, the latter initiates a batchIngest procedure. The Session DOP's batchIngest specification expects each file contained in the zip archive to abide to the hq file definitions of the Page prototype. In other words, if the user supplies a Session instance with a zip file containing TIFF images, as the Session zip file definition requires, the instance will automatically create the corresponding Page digital objects. Specifically, the Session batchIngest procedure extracts the zip file in a temporary location and iterates over the files it contains using the file name's sort order. If the file at hand abides to the Page's primary file format:

a. Creates a new Page digital object instance.

b. Adds the Page instance to the current Session instance (as required from structural relationships described in Section 2.4).

c. Adds the file to the Page instance at hand. This will trigger the automatic file conversion process of the Page prototype, as outlined earlier.

Should we consider a Session comprised of 120 Page objects, then the ingestion automation task, supplied by DOPs, releases the user from creating 120 digital objects and making 240 file format conversions manually.

#### 2.4 Relationships in DOPs

DOPs specify the different relationships that their instances may be allowed to participate in. Currently, DOPs support the following relationships:

- Internal Relationships: Digital objects reference other DL pertinent objects.

- *Structural Relationships*: These model the "parent / child" relationships generated between digital objects that act as containers and their respective "children".

- *External Relationships*: Digital object reference external entities, providing their respective URLs.

A Session object is allowed to contain Page objects; this specification appears in the relations section of the Session DOP (Figure 2). The existence of a structure specification in the Session prototype yields the following private behavior in the participating entities:

- Every **Session** object instance maintains a list of all the digital object identifiers the instance contains.

- Every Page instance uses the dc:relation\_isPartOf element to hold the identifier of its parent Session.

Finally, the **references** part of the **relation** section informs DL services whether custom relationships are supported by this type of object. In the **Session** DOP of Figure 2, the **references** value guides UI services to allow the cataloguer to relate **Session** instances only with DL internal objects and not with external entities.

## 2.5 Public Behaviors in DOPs

We define public behaviors in DOPs using the notion of *behavioral scheme*. A behavioral scheme is a selection of the entities that are part of a digital object. Behavioral schemes are used to generate projections of the content of the digital object. Figure 2 illustrates the **behaviors** section of the Session prototype, which defines three behavioral schemes, namely **browseView**, zipView, and detailView. The **browseView** scheme supplies the user with a view of the digital object instance containing only three elements of the qualified DC metadata set, namely dc:identifier, dc:title and dc:date. Respectively, zipView generates a projection containing the dc:title metadata element and the zip file, while detailView provides a full-detail view of the object's metadata elements. This way, the DL designer is able to generate desired "subsets" of the encapsulated data of the digital object instance at hand for different purposes.

Execution of public behavior is performed by the invocation of a high level operation on a digital object instance, supplying the desired behavioral scheme. High level operations correspond to the actions supported by the DL modules. For example, the cataloguing module supports the editObject, saveObject and deleteObject actions, the browsing module supports the browseObject action, while object display module supports the viewObject action. At this stage, all Pergamos DL modules support only HTML actions:

- viewObject("uoadl:1209", shortView): Dynamically generates HTML that displays the elements participating in the shortView of the "uoadl:1209" object in read-only mode. The *DO Dictionary* will first instantiate the object via its respective Session DOP (Fig. 1b). The new instance "knows" how to provide its shortView elements to the object display module.

- editObject("uoadl:1209", zipView): Dynamically generates an HTML form that allows the user to modify the instance's elements that participate

in **zipView**. This view is used by the digitization staff in order to upload the original material and trigger the batch ingestion process, as described earlier in this section.

- editObject("uoadl:1209", detailView): Generates an HTML form that displays all the metadata elements of the given instance in an editable fashion. This is used by the cataloguing staff in order to edit digital object's metadata. The cataloguing module uses the behavioral characteristics described in Section 2.2 (e.g. isMandatory, isRepeatable) to generate the appropriate, type-specific representation of the digital object.

- saveObject("uoadl:1209", zipView): Saves "uoadl:1209" instance back to the repository. Only the zipView scheme elements are modified. Cataloguing module knows how to direct the submission of the web form generated by its aforementioned editObject action to saveObject. Respectively, cataloguing deleteObject action is bound to a suitable UI metaphor (e.g. a "delete" button of the web form). The scheme supplied to deleteObject is used to generate a "deletion confirmation view" of the digital object.

The execution of public behaviors is governed by the particular scheme at hand, while the DOP specifications enable DL application logic to adjust to the requirements of each element participating in the scheme.

## 3 Organization of Collections in Pergamos Using DOPs

Currently, Pergamos contains more than 50,000 digital objects originating from the Senate Archive, the Theatrical Collection, the Papyri Collection and the Folklore Collection. Table 1 provides a summary of the DOPs we generated for modeling the disparate digital object types of each collection, pinpointing the flexibility of our approach. It should be noted that DOPs are defined with a collection-pertinent scope [13] and are supplied with fully qualified identifiers, such as folklore.page and senate.page, avoiding name collisions. These identifiers apply to the object's parts, too; folklore.page.dc metadata set is different from the senate.page.dc set, both containing suitable qualifications of the DC element set for different types of objects.

a. Folklore Collection Folklore Collection consists of about 4,000 handwritten notebooks created by students of the School of Philosophy. We modeled the Folklore Collection using the Notebook, Chapter and Page DOPs. Notebooks are modeled as complex objects that reflect their hierarchical nature; the Notebook DOP allows notebooks to contain Chapter objects, which in turn are allowed to contain other Chapter objects or Page objects. Notebooks are supplied with metadata that describe the entire physical object, while Chapter metadata characterize the individual sections of the text. Finally, Page objects are not supplied with metadata but contain three files, resembling the definition of the Senate Archive's Pages provided in Listing 1.1.

**b.** Papyri Collection This collection is comprised of about 300 papyri of the Hellenic Papyrological Society. We modeled papyri using the Papyrus DOP, consisting of a suitable DC qualification and four file formats. The orig file format Table 1. A summary of the DOPs we generated for four Pergamos collections

DOP	Metadata	Files	Relationships
Notebook	dc	none	contains Chapter or Page
Chapter	dc	none	contains Chapter or Page
Page	none	hq, web, thumb, hq to web, hq	none
		to thumb conversions	
b. Papyr	i Collection		
DOP	Metadata	Files	Relationships
Papyrus	dc	orig, hq, web, thumb, hq to	none
		web, hq to thumb conversions	
c. Theat	rical Collectio	n	
DOP	Metadata	Files	Relationships
Album	$\texttt{custom} \to \texttt{dc}$	zip triggers batch import	contains Photo
Photo	$\texttt{niso} \to \texttt{dc}$	hq, web, thumb, hq to web, hq	none
		to thumb conversions	
d. Senate	e Archive's Se	ssion Proceedings	
DOP	Metadata	Files	Relationships
Session	$\texttt{ead} \to \texttt{dc}$	zip triggers batch import	contains Page
Page	none	hq, web, thumb, hq to web, hq	none
		to thumb conversions	

a. Folklore Collection

corresponds to the original papyrus digitized image, while hq refers to a processed version, generated for advancing the original image's readability. The orig image is defined as primary, without conversions. The hq image, which is also defined as primary, is the one supplied with the suitable conversion specifications that generate the remaining two derivative formats, namely web and thumb.

c. Theatrical Collection Theatrical Collection consists of albums containing photographs taken from performances of the National Theater. Each Photo digital object contains three different forms of the photograph and is accompanied by the metadata required for describing the picture, either descriptive (dc) or technical (niso). As in the case of Senate Session Proceedings, mapping are used to to map niso elements to dc. Albums do not themselves contain any digital content, since they act as containers of Photo digital objects. However, Albums are accompanied by the required theatrical play metadata, encoded in terms of a custom metadata set, that is also mapped to dc.

**d. Senate Archive** The Senate Archive's Session Proceedings has been discussed in Section 2.

## 4 Discussion and Related Work

To our knowledge, DOPs provide the first concrete realization of digital object types and their enforcement. Our approach draws on the notions of the OO paradigm, due to its well established foundations and its well known concepts. Approaches on the formalization of OO semantics [2,12] show that the notion

of objects in OO languages and the notion of digital objects in a DL system present significant similarities, yet in a different level of abstraction. [1] defines OO systems in terms of the following requirements:

- *encapsulation*: support data abstractions with an interface of named operations and hidden state,

- type conformance: objects should be associated to a type,
- *inheritance*: types may inherit attributes from super types.

At this stage, DOPs fulfill the encapsulation and type conformance requirements. The inclusion of inheritance is expected to provide explicit polymorphic capabilities to DOPs, since polymorphism is currently implicitly supported; the high level actions residing in the DL modules, as presented in Section 2.5, are polymorphic and can operate on a variety of types. Inheritance is also expected to allow designers to reuse digital object typing definitions. The concept of definition reuse through inheritance has been discussed in [8], although targeted on information retrieval enhancements.

Although DOPs are currently implemented atop the FEDORA repository, we believe that the presented concepts are of broader interest. The core type enforcement implementation of DOPs regarding digital object instances and their respective behavior is FEDORA independent and only stored digital object operations are tied to FEDORA specific functionality (e.g. getDatastream, saveDatastream services). Taken into consideration that DOPs, conceptually, relate to the OO paradigm and the digital object modeling approach of Kahn and Wilensky [9], we argue that there are strong indications that DOPs can be implemented in the context of other DL systems as well.

DOPs are complementary to FEDORA, or any other underlying repository. FEDORA can effectively handle low-level issues regarding digital object storage, indexing and retrieval. DOPs provide an architecture for the effective manipulation of digital objects in the higher level context of DL application logic. DOPs behaviors are divided into private and public, in order to support encapsulation, while their definition is performed in the object's respective prototype. FEDORA implements behaviors in terms of *disseminators*, which associate functionality with datastreams. FEDORA disseminators must be attached to each individual digital object upon ingestion time. With DOPs, all objects of the same type behave in the same manner; their respective behaviors are dynamically binded to the instances at runtime, while the behaviors are defined once and in one *place*, increasing management and maintenance capabilities. aDORe [4] deploys a behavior mechanism that, although it is similar to FEDORA, it attaches behaviors to stored digital objects in a more dynamic fashion, upon dissemination time, using disseminator-related rules stored in a knowledge base. Finally, DOPs behaviors operate on digital objects in a more fine-grained manner, since they can explicitly identify and operate upon the contents of FEDORA datastreams.

[5] enables the introspection of digital object structure and behavior. A DOP can be conceived as a meta-level entity that provides structural and behavioral metadata for a specific subset of base-level digital objects. Put in other terms,

a DOP acts as an introspection guide for its respective digital object instances. DOP supplied type conformance and type-driven introspection of digital object structure and behavior allows third parties to adjust to each object's "idiosyncrasy" in a uniform manner.

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